



Attorney's Docket No. 074451.P024C

161
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Ahmad Zandi

Application No.: 08/941,466

Filed: 9/30/1997

For: Method for Compression Using
Reversible Embedded Wavelets

Examiner: Timothy M. Johnson

Art Unit: 2623

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Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 12-7-04.

Tina Wainright (Typed or printed name of person mailing correspondence)

12-7-04
(Signature of person mailing correspondence)

12/14/2004 JAD001 00000037 08941466 180.00 op
01 FC:1806

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37 C.F.R. §1.97(b).

37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

A statement pursuant to 37 C.F.R. §1.97(e) or

A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e); and

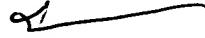
(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 12/6, 2011



Michael J. Mallie
Reg. No. 36,591

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025
(408) 720-8300

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
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Complete if Known

Application Number	08/941,466
Filing Date	9/30/1997
First Named Inventor:	Ahmad Zandi
Art Unit	2623
Examiner Name	Timothy M. Johnson

Sheet	1	of	5	Attorney Docket Number	074451.P024C
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)			
	us- 4,437,087		3/13/1984	Petr	
	us- 4,751,742		6/14/1988	Meeker	
	us- 4,881,075		11/14/1989	Weng	
	us- 4,899,147		2/6/1990	Schiavo et al.	
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	us- 5,223,926		6/29/1993	Stone, et al.	
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	us- 6,128,413		10/3/2000	Benamara	
	us- 6,160,846		12/12/2000	Chiang	
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	us- 6,236,765 B1		5/22/2001	Archarya	
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Application Number	08/941,466
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Art Unit	2623
Examiner Name	Timothy M. Johnson

Sheet 2 of 5 Attorney Docket Number 074451.P024C

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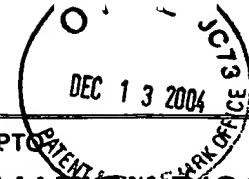
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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Sheet

3

of

5

Attorney Docket Number

08/941.46

Application Number 08/941,466

Filing Date 9/30/1997

First Named Inventor: Ahmad Zandi

Art Unit 2623

Examiner Name **Timothy M. Johnson**

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Sheet	4	of	5	Attorney Docket Number	074451.P024C
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		BLUMBERG, et al., "Visual Realism and Interactivity for the Internet", IEEE, 1997, pp. 269-273.	
		BOLIEK, et al., "Decoding compression with reversible embedded wavelets (CREW) codestreams", Journal of Electronic Imaging, July 1998, vol. 7 (3), pp. 402-409.	
		BOLIEK, et al., "JPEG 2000 for Efficient Imaging in a Client/Server Environment", Proceeding of the PIE, SPIE, Bellingham, VA, US, Vol. 4472, July 31, 2001, pp. 212-223, XP008010308.	
		BOLIEK, et al., "JPEG 2000 Next Generation Image Compression System", IEEE 0-7803-6297, 45-48	
		CALDERBANK, et al., "Wavelet Transforms That Map Integers to Integers", August 1996.	
		CAREY, et al: "Regularity-Preserving Image Interpolation", IEEE Transactions on Image Processing, Vol. 8., No. 9, September 1999, pgs. 1293-1297, XP002246254.	
		CARRATO, et al: "A Simple Edge-Sensitive Image Interpolation Filter", Proceedings of the International Conference on Image Processing (ICIP) Lausanne, Sept. 16-19, 1996, New York, IEEE, US, vol. 1, pgs. 711-714, XP010202493.	
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		CHUI, et al., "Wavelets on a Bounded Interval", <u>Numerical Methods of Approximation Theory</u> , Vol. 9, 1992, pg. 53-75.	
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		French Search Report, FR9511023, 11/26/96.	
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		Information Technology - JPEG 2000 Image Coding System - Part 1: Core Coding System, ISO/IEC 15444-1, 12/15/2000, pg. 5, 14, 22.	
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		LE GALL, et al., "Sub-band coding of Digital Images Using Symmetric Short Kernel Filters and Arithmetic Coding Techniques", 1988, International Conference on Acoustics, Speech and Signal Processing, pp. 761-764.	

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Sheet 5 of 5 Attorney Docket Number 074451.P024C

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		MARCELLIN, et al., "An Overview of JPEG-2000", Proceedings. DCC 2000 Snowbird, UT, USA, March 28-30, 2000, pp. 523-541, XP010377392.	
		MENG, TERESA H., "A Wireless Portable Video-on-Demand System," VLSI Design, 1998, Proceedings Eleventh International Conference on Chennai, India 407, Jan. 1998, California, pgs. 4-9.	
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		SZU, et al., "Image Wavelet Transforms Implemented by Discrete Wavelet Chips", <u>Optical Engineering</u> , July 1994, Vol. 33, No. 7, pp.2310-2325.	
		WU, et al., "New Compression Paradigms in JPEG2000", Applications of Digital Image Processing XXIII, San Diego, CA USA, July 31-Aug 3, 2000, vol. 4115, pp. 418-429, XP008013391, Proceedings of the DPIE - The International Society for Optical Engineering, 2000, SPIE-Int. Soc. Opt. Eng., USA.	
		XIONG, et al., "Joint Optimization of Scalar and Tree-structured Quantization of Wavelet Image Decompositions", 01/11/93, pp. 891-895.	

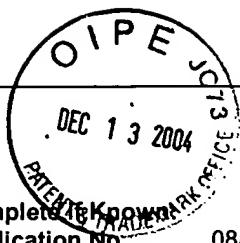
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FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Completed by Patent
 Application No. 08/941,466
 Filing Date 9/30/1997
 First Named Inventor Ahmad Zandi
 Examiner Name Timothy M. Johnson
 Art Unit 2623
 Attorney Docket No. 074451.P024C

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Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None

Deposit Account
 Deposit Account Number : 02-2666
 Deposit Account Name: _____

The Director is Authorized to do the following with respect to the above-identified Deposit Account:
 _____ Charge fee(s) indicated below.
 Credit any overpayments.
 Charge any additional fees during the pendency of this application.
 Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.
 Charge fee(s) indicated below except for the filing fee.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
1001	770	2001	385
1002	340	2002	170
1003	530	2003	265
1004	770	2004	385
1005	160	2005	80
		Utility application filing fee	_____
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____

SUBTOTAL (1) \$ _____

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	- 20** = _____	X	= _____
Independent Claims	- 3** = _____	X	= _____
Multiple Dependent			= _____

**Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	(\$)	Code
1202	18	2202 9
1201	86	2201 43
1203	290	2203 145
1204	86	2204 43
1205	18	2205 9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ _____

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1813	8,800	1813	8,800
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	420	2252	210
1253	950	2253	475
1254	1,480	2254	740
1255	2,010	2255	1,005
1401	330	2401	165
1402	330	2402	165
1403	290	2403	145
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,330	2453	665
1501	1,330	2501	665
1502	480	2502	240
1503	640	2503	320
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	770	2809	385
1814	110	2814	55
1810	770	2810	385
1801	770	2801	385
1802	900	1802	900
1504	300	1504	300
1505	300	1505	300
1803	130	1803	130
1808	130	1808	130
1454	1,330	1454	1,330
		Acceptance of unintentionally delayed claim for priority	

Other fee (specify) _____

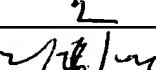
Other fee (specify) _____

SUBTOTAL (3) \$ 180.00

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SUBMITTED BY:

Typed or Printed Name: Michael J. Mallie

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